

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re application of: Cole H. Chen; Phong D. Doan; Yougandh Chitre; and John R. Helland

Serial No.: Unknown

Art Unit: Unknown

Filing Date: Herewith

Examiner: Unknown

For: METHOD OF MANUFACTURING A DRUG-ELUTING ENDOCARDIAL LEAD  
UTILIZING SILICONE ELASTOMER AS A DRUG CARRIER

Docket No.: A01P1051US02

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POWER OF ATTORNEY BY ASSIGNEE  
AND EXCLUSION OF INVENTOR UNDER RULE 37 CFR 3.71

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

The undersigned Behzad Khosravi is a representative authorized to sign on behalf of the assignee of the entire interest in the above-identified subject application, **PACESETTER, INC.**, and hereby appoints:

Steven M. Mitchell  
Reg. No. 31,857

Derrick Reed  
Reg. No. 40,138

Ronald S. Tamura  
Reg. No. 43,179

Jonathan T. Losk  
Reg. No. 39,755

as its attorneys/agents to prosecute this application and to transact all business in the United States with the provisions of Rule 32 of the Patent Office Rules of Practice.

**PACESETTER, INC.**, per 37 CFR 3.73(b), certifies that the evidentiary documents with respect to its ownership have been reviewed and that to the best of the undersigned's knowledge and belief, title is in the assignee seeking this action.

**PACESETTER, INC.**, declares that 100% ownership is established by the assignment

\_\_\_\_\_ filed for recordation herewith.

XX recorded in the U.S. PTO on 07/11/2001; REED/FRAME: 011992/0094.

a copy of which is attached.

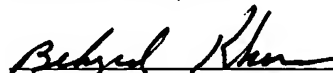
Please direct all correspondence and phone calls relative to said application as follows:

Derrick Reed, Esq.  
Pacesetter, Inc.  
15900 Valley View Court  
Sylmar, CA 91392-9221  
818/493-2200

ASSIGNEE:

**PACESETTER, INC.**

Signature:



Typed Name:

Behzad Khosravi

Title:

Vice President, Quality, IT & Leads

Address:

Pacesetter, Inc. **Development**  
15900 Valley View Court  
Sylmar, CA 91392-9221

Date: 12/12/2003

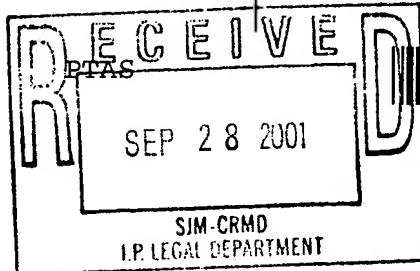


**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

ASSISTANT SECRETARY AND COMMISSIONER  
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SEPTEMBER 25, 2001

PACESETTER, INC.  
LEGAL DEPT.  
ESTELLA PINEIRO  
15900 VALLEY VIEW COURT  
SYLMAR, CA 91392-9221



\*101787072A\*

**UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT**

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 07/11/2001

REEL/FRAME: 011992/0094  
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:  
CHEN, COLE H.

DOC DATE: 07/10/2001

ASSIGNOR:  
DOAN, PHONG D.

DOC DATE: 07/10/2001

ASSIGNOR:  
CHITRE, YOUNGANDH

DOC DATE: 07/10/2001

ASSIGNOR:  
HELLAND, JOHN R.

DOC DATE: 07/10/2001

ASSIGNEE:  
PACESETTER, INC.  
15900 VALLEY VIEW COURT  
SYLMAR, CALIFORNIA 91392-9221

SERIAL NUMBER: 09904055  
PATENT NUMBER:

FILING DATE: 07/11/2001  
ISSUE DATE:

011992/0094 PAGE 2

SAUNDRA BALLENGER, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

07-25-2001

VER SHEET  
LYU.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Tab settings □ □ □ ▼

101787072

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying party(ies):

Cole H. Chen

25399 The Old Road, #8207

Stevenson Ranch, CA 91381

Additional name(s) of conveying party(ies) attached? ☒ Yes ☐ No

## 2. Name and address of receiving party(ies)

Name: PACESETTER, INC.

Internal Address: \_\_\_\_\_

Street Address: 15900 Valley View CourtCity: Sylmar, State: CA ZIP: 91392-9221Additional name(s) & address(es) attached? ☐ Yes ☒ No

## 3. Nature of conveyance:

☒ Assignment☐ Merger☐ Security Agreement☐ Change of Name☐ Other \_\_\_\_\_Execution Date: July 10, 2001

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: July 11, 2001

A. Patent Application No.(s)

B. Patent No.(s)

A01P1051

Additional numbers attached? ☐ Yes ☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Legal Dept.Internal Address: PACESETTER, INC.Street Address: 15900 Valley View CourtCity: Sylmar, State: CA ZIP: 91392-92216. Total number of applications and patents involved: 17. Total fee (37 CFR 3.41).....\$ 40.00☐ Enclosed☒ Authorized to be charged to deposit account

## 8. Deposit account number:

16-0068

(Attach duplicate copy of this page if paying by deposit account)

07/24/2001 LMUELLER 00000144 160068 09904055

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Estella Pineiro

Name of Person Signing

Signature

Date

Total number of pages including cover sheet, attachments, and document: 43

RECORDATION FORM COVER SHEET

PATENTS ONLY

(continued)

Docket No. A01P1051

Name of conveying party(ies):

b) Phong D. Doan  
25312 Joyce Place  
Stevenson Ranch, CA 91381

Execution Date: July 10, 2001

c) Yougandh Chitre  
25399 The Old Road, 6-204  
Stevenson Ranch, CA 91381

Execution Date: July 10, 2001

b) John R. Helland  
20335 Jay Carroll Drive  
Saugus, CA 93063

Execution Date: July 10, 2001

**ASSIGNMENT**

FOR GOOD AND VALUABLE CONSIDERATION, I

- |   |  |   |   |
|---|--|---|---|
| 1) Cole H. Chen<br>25399 The Old Road, #8207<br>Stevenson Ranch, CA 91381 | 2) Phong D. Doan<br>25312 Joyce Place<br>Stevenson Ranch, CA 91381 | 3) Yougandh Chitre<br>24442 Valencia Blvd., #2202<br>Valencia, CA 91355 | 4) John R. Helland<br>20335 Jay Carroll Drive<br>Saugus, CA 91350 |
|---|--|---|---|

1. HEREBY sell, assign, and transfer to Pacesetter, Inc., herein the "Assignee," the entire right, title and interest in and to any and all improvements which are disclosed in the application for United States Letters Patent, which has been executed by the undersigned concurrently herewith, and is entitled

**APPARATUS USING A SILICONE ELASTOMER AS A DRUG CARRIER IN A  
DRUG-ELUTING ENDOCARDIAL LEAD AND METHOD OF MANUFACTURE**being identified in Pacesetter records as case number: **A01P1051**

and in and to said application and all divisional, continuing, substitute, renewal, reissue, and all other applications for Letters Patent which have been or shall be filed in the United States and all foreign countries on any of said improvements; and in and to all original and reissued patents which have been or shall be filed in the United States and all foreign countries on said improvements;

2. AGREE that Assignee may apply for and receive Letters Patent for said improvements in its own name, and that, when requested, without charge to but at the expense of said Assignee, its successors, assigns, and legal representatives who carry out in good faith the intent and purpose of this agreement, the undersigned will execute all divisional, continuing, substitute, renewal, reissue, and all other patent applications on any and all said improvements; execute all rightful oaths, assignments, powers of attorney and other papers; communicate to said Assignee, its successors, assigns, and representatives, all facts known to the undersigned relating to said improvements and the history thereof; and generally do everything possible which said Assignee, its successors, assigns, or representatives shall consider desirable for aiding in securing and maintaining proper patent protection for said improvements and for vesting title to said improvements and all applications for patents and all patents on said improvements, in said Assignee, its successors, assigns, and legal representatives; and

3. COVENANT with said Assignee, its successor, assigns and legal representatives that no assignment, grant, mortgage, license or other agreement affecting the rights and property herein conveyed has been made to others by the undersigned, and that full right to convey the same as herein expressed is possessed by the undersigned.

Date: July, 10th 2001

Signature: \_\_\_\_\_

Cole H. Chen

Date: July 10, 2001

Signature: \_\_\_\_\_

Phong D. Doan

Date: JULY 10, 2001

Signature: \_\_\_\_\_

Yougandh Chitre

Date: July 10, 2001

Signature: \_\_\_\_\_

John R. Helland

## DECLARATION

☒ ORIGINAL  
☐ CONTINUATION  
☐ CONTINUATION-IN-PART  
☐ DIVISIONAL

As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and joint inventors (**Cole H. Chen; Phong D. Doan; Yougandh Chitre; and John R. Helland**) of the subject matter which is claimed and for which a patent is sought on the invention entitled :

### APPARATUS USING A SILICONE ELASTOMER AS A DRUG CARRIER IN A DRUG-ELUTING ENDOCARDIAL LEAD AND METHOD OF MANUFACTURE

the specification of which:

☒ is attached hereto.

☐ was filed on \_\_\_\_\_ as U.S. Application No. \_\_\_\_\_.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with 37 C.F.R., Section 1.56.

I hereby claim the benefit under 35 U.S.C., Section 119(e), of any U.S. provisional application(s) listed below.

(Application Serial No.)	(Filing Date)
(Application Serial No.)	(Filing Date)

(Application Serial No.)	(Filing Date)
(Application Serial No.)	(Filing Date)

I hereby claim the benefit under 35 U.S.C, Section 120, of any U.S. application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior U.S. application in the manner provided by the first paragraph of 35 U.S.C., Section 112, I acknowledge the duty to disclose material information as defined in 37 C.F.R., Section 1.56, which became available between the filing date of the prior application and the national filing date of this application.

(Application Serial No.)	(Filing Date)	(Status)
(Application Serial No.)	(Filing Date)	(Status)

(Application Serial No.)	(Filing Date)	(Status)
(Application Serial No.)	(Filing Date)	(Status)

I hereby appoint the following attorney(s)/agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Jonathan T. Losk  
Reg. No. 39,755

Lisa P. Weinberg  
Reg. No. 33,290

Steven M. Mitchell  
Reg. No. 31,857

Derrick Reed  
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Address correspondence to:

Lisa P. Weinberg, Patent Agent  
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Sylmar, California 91392-9221  
818/493-2221

Direct telephone calls to:

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Joint Inventor: Cole H. Chen

Signature: 

Citizenship: US

Date: July, 10<sup>th</sup> 2001

Residence: Stevenson Ranch, California 91381

Post Office Address: 25399 The Old Road, #8207

Full Name of Joint Inventor: Phong D. Doan

Signature: 

Citizenship: US

Date: July 10, 2001

Residence: Stevenson Ranch, California 91381

Post Office Address: 25312 Joyce Place

Full Name of Joint Inventor: Yougandh Chitre

Signature: 

Citizenship: US

Date: JULY 10, 2001

Residence: Valencia, California 91355

Post Office Address: 24442 Valencia Blvd., #2202

Full Name of Joint Inventor: John R. Helland

Signature: 

Citizenship: US

Date: July 10, 2001

Residence: Saugus, California 91350

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